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12/05/01  
JC921 U.S. PRO

PATENT APPLICATION

Continuation/Divisional Docket No. 1405.65975  
Parent Docket No.: 1405.65316

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tsutomu Tanaka  
Application No.: 09/809,475 Art Unit: 2652  
Filed: March 15, 2001 Examiner: Not assigned  
For: MAGNETO-OPTICAL RECORDING MEDIUM  
AND METHOD OF REPRODUCING THE SAME

JC996 U.S. PRO  
10/004726  
12/05/01

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

NOTIFICATION OF FILING OF CONTINUING  
OR DIVISIONAL APPLICATION

Notification is hereby being made of the filing of a:

- ☐ continuation
- ☒ continuation-in-part
- ☐ divisional

application for this case

- ☒ concurrently herewith.
- ☐ on \_\_\_\_\_  
Date

Reg No. 37,538

James K. Folker  
SIGNATURE OF ATTORNEY  
James K. Folker  
(type or print name of attorney)

Tel. No.: 312.360.0080

Greer, Burns & Crain, Ltd.  
300 S. Wacker Dr. Suite 2500  
(address)  
Chicago, IL 60606

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

37 C.F.R. 1.8a

37 C.F.R. 1.10

☐ with sufficient postage as "first class" mail. ☒ as "Express Mail" Mailing Label No. EL846162925US.

12-5-01  
Date

Daniel Canan  
Signature  
Daniel Canan  
(type or print name of person certifying)

Docket No.: 1405.65975

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:

Class: Subclass:

Prior application: 09/809,475

Examiner: Not assigned

Art Unit: 2652

REQUEST FOR FILING CONTINUING OR DIVISIONAL APPLICATION

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

This is a request for filing a

- (X) Continuation-in-part application,  
( ) Divisional application,

under 37 CFR 1.53(b), of pending prior application Serial No. 09/809,475 filed on March 15, 2001 for MAGNETO-OPTICAL RECORDING MEDIUM AND METHOD OF REPRODUCING THE SAME.

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CERTIFICATION UNDER 37 CFR 1.10

I certify that, on the date shown below, these documents are being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231, as "Express Mail Post Office to Addressee," Mailing Label No. EL846162925US.

Date December 5, 2001

Signature

*Daniel Carver*

1. ( ) Enclosed is a copy of the prior application, including the oath or declaration as originally filed. The attached application papers are a true copy of prior application Serial No.: ^^^^ as originally filed on ^^^^, and no amendments referred to in the oath or declaration as originally filed introduced new matter. This statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

The copy of the papers of the prior application as filed are as follows:

- ( ) \_\_\_\_\_ pages of specification including claims and abstract
- ( ) \_\_\_\_\_ sheets(s) of drawing
- ( ) \_\_\_\_\_ pages of declaration and power of attorney

2. (X) Enclosed is a new specification. **A newly executed declaration is also enclosed, which lists the following inventors: Tsutomu Tanaka, Motonobu Mihara, Ken Tamanoi.**

The new application includes:

- (X) 46 pages of specification including claims and abstract
- (X) 16 sheets(s) of drawing
- (X) 4 pages of declaration and power of attorney

3. ( ) A statement (Declaration) claiming small entity status was filed in the prior application and small entity status for this application is proper and desired.
4. ( ) Cancel in this application original claims ^^^^ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).

5. (X) The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW

For	No. Filed	No. Extra	Rate	Fee
Basic Fee.....				\$740.00
Total Claims...	24 - 20 =	4	x \$18.00 =	\$ 72.00
Independent Claims....	6 - 3 =	3	x \$84.00 =	\$ 252.00
		Fee for Multiple Claims		\$280.00
		Total Filing Fee		\$1,344.00

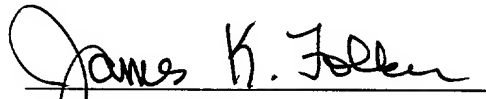
6. (X) A check in the amount \$1,344.00 is enclosed.
7. (X) The Commissioner is hereby authorized to charge any additional fees which may be required for this application under 37 C.F.R. § 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.
8. ( ) A petition and fee for an extension of time has been filed in the pending prior application. A copy of the petition is attached.
9. (X) Amend the specification by inserting before the first line the sentence: --**This is a continuation-in-part of application Serial No. 09/809,475, filed March 15, 2001.**--
10. (X) Priority of application Serial No(s). **2000-327155** filed on **October 26, 2000** in **Japan** is claimed under 35 U.S.C. § 119.
- (X) The certified copy was filed in prior application **Serial No. 09/809,475, filed March 15, 2001.**
11. (X) The prior application is assigned of record to: **Fujitsu Limited, 1-1 Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan.** The assignment was recorded on **March 15, 2001** on Reel **011623, Frame 0970.**
12. (X) The inventor of the invention of the parent application, serial number **09/809,475** is **Tsutomu Tanaka.**

13. (X) An information disclosure statement is submitted herewith.
14. (X) A preliminary amendment is enclosed herewith.
15. (X) Please add the names of **Motonobu Mihara and Ken Tamanoi** who are also inventors of the subject matter of the continuation-in-part application.
16. (X) The power of attorney in the prior application is to:

Patrick G. Burns, Reg No. 29,367  
Greer, Burns & Crain, Ltd.  
300 South Wacker Drive  
Suite 2500  
Chicago, Illinois 60606

- (X) The power appears in the original papers in the prior application.
- ( ) Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- ( ) Associate Power of Attorney is enclosed.
- ( ) A copy of the Associate Power to ^^^^ is enclosed. The original Associate Power was filed in the parent application.
- (X) Address all future communications to:  
Patrick G. Burns  
300 South Wacker Drive  
Suite 2500  
Chicago, Illinois 60606

December 5, 2001

  
James K. Folker  
Registration No. 37,538  
Attorney of record

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Continuation/Divisional Application, 4 pages, 16 paragraphs  
Revision September 26, 2000